



## Substitute for Form PTO-1449

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 of 4

Complete if known						
Application Number 09/771,200						
Filing Date January 26, 2001						
First Named Inventor	Raul Asia et a					
Group Art Unit	Not Assigned &					
Examiner Name	Not Assigned 2 2					
Attorney Docket Number	23600.00501					

	7		U.	S. PATENT DOCUMENT	S	76
RAD	Cite	U.S. Patent Docu		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Ses, Where
Initials	No.¹	Number	Kind Code <sup>1</sup> (if known)	Of Cited Document	Cited Document MM- DD-YYYY	Relevant Passages of Relevant Figures Appear
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1	aminer itials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	۲
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~			Network Side Interface Specification, SP-CMTS-NSII01-960702 (July 2, 1996)	
			pp. i-13	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation is not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&#</sup>x27;Unique citation designation number. 'See attached Kinds of U.S. Patent Documents. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language translation is attached.

Substitute for Form PTO-1449	Com	olete if known		
	Application Number	09/771,200	Te	
INFORMATION DISCLOSURE	Filing Date	January 26, 2001	다	
TATEMENT BY APPLICANT	First Named Inventor	Raul Asia et al.	호	7
	Group Art Unit	Not Assigned	- <u>S</u>	C
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Examin	Cite	U.S. Patent Docu		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where
er Initials •	No.'	Number	Kind Code <sup>2</sup> (if known)	Of Cited Document	Cited Document MM- DD-YYYY	Relevant Passages or Relevant Figures Appear
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			OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	,
	Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	₽*
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Ĭ		C6	Data-Over-Cable Service Interface Specifications, Radio Frequency Interface	
-			Specification, SP-RFI-I04-980724 (July 24, 1998) pp. 1-196	
Ī		C7	Data-Over-Cable Service Interface Specifications, Operations Support System Interface Specification SP-OSSI-102-990113 (January 13, 1999) pp. i-14	
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			Interface Specification Radio Frequency Interface, SP-OSSI-RFI-103-990113 (January 13, 1999) pp. i-29	

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>2</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>4</sup>Applicant is to place a check mark here if English language translation is attached.

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	Application Number	09/771,200	Chi	
P ENFORMATION DISCLOSURE	Filing Date	January 26, 2001	_ੂਰੂ_	
STATEMENT BY APPLICANT	First Named Inventor	Raul Asia et al.	<b>3</b>	
<u> </u>	Group Art Unit	Not Assigned	<u> </u>	
1 7 2001 to e as many sheets as necessary)	Examiner Name	Not Assigned	1	
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xamin	Cite No.1	U.S. Patent Doc	ument Kind	Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-	Pages, Columns, Lines, When Relevant Passages or Relevant
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				(if known)	Cited Document	MM-DD-YYYY	Figures Appear	<u> </u>

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
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	Examiner Signature	Panha, Mun	Date Considered	2/2005
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P STATEMENT BY APPLICANT	First Named Inventor Raul Asia et al.				
	Group Art Unit	Not Assigned	8	,	
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er Initials	No.'	Number	Kind Code <sup>2</sup> (if known)	Of Cited Document	Cited Document MM- DD-YYYY	Relevant Passages or Relevant Figures Appear
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•	C11 Data-Over-Cable Service Interface Specifications, Radio Frequency Interface					
		Specification, SP-RFIv1.1-I03-991105 (Nevember 5, 1999) pp. i-366				
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